

SUPPLEMENTARY KNOW YOUR CLIENT (KYC) FORM

The application Form should be completed in English and in BLOCK LETTERS only.

Date

0186

UNIT HOLDER INFORMATION

A. EXISTING INVESTOR Folio No.

B. NEW INVESTOR Application No.

FIRST APPLICANT'S DETAILS

Title Mr. Ms. M/s

Name (1st)

Status Resident Individual Proprietor HUF Minor Society FII NRI PIO Partnership Firm Trust Company Other Specify

Occupation Pvt. Sector Service Public Sector Gov. Service Housewife Defence Professional Retired Business Agriculture Student Forex Dealer Other Specify

Gross Annual Income OR Net-worth* in ₹ as on Date

< 1 L 1-5 L 5-10 L 10-25 L > 25 L

*Should not be older than one year Politically Exposed Person (PEP) Related to a PEP

Any other information

Gross Annual Income OR Net-worth* in ₹ as on Date

< 1 L 1-5 L 5-10 L 10-25 L > 25 L 25 L - 1 C > 1 C

Is the entity involved in any of the following services: • Foreign Exchange/ Money Changer Yes No

• Gaming/ Gambling/ Lottery (casinos, betting syndicates) Yes No • Money Lending/ Pawning Yes No

Any other information

SECOND APPLICANT'S DETAILS

Title Mr. Ms. M/s

Name (2nd)

Status Resident Individual Proprietor HUF Minor Society FII NRI PIO Partnership Firm Trust Company Other Specify

Occupation Pvt. Sector Service Public Sector Gov. Service Housewife

Defence Professional Retired Business Agriculture Student

Forex Dealer Other Specify

Gross Annual Income OR

Net-worth* in ₹

as on Date

*Should not be older than one year Politically Exposed Person (PEP) Related to a PEP

Any other information

< 1 L 1-5 L 5-10 L 10-25 L > 25 L

< 1 L 1-5 L 5-10 L 10-25 L > 25 L

*Should not be older than one year Politically Exposed Person (PEP) Related to a PEP

Any other information

THIRD APPLICANT'S DETAILS

Title Mr. Ms. M/s

Name (3rd)

Status Resident Individual Proprietor HUF Minor Society FII NRI PIO Partnership Firm Trust Company Other Specify

Occupation Pvt. Sector Service Public Sector Gov. Service Housewife

Defence Professional Retired Business Agriculture Student

Forex Dealer Other Specify

Gross Annual Income OR

Net-worth* in ₹

as on Date

*Should not be older than one year Politically Exposed Person (PEP) Related to a PEP

Any other information

< 1 L 1-5 L 5-10 L 10-25 L > 25 L

< 1 L 1-5 L 5-10 L 10-25 L > 25 L

*Should not be older than one year Politically Exposed Person (PEP) Related to a PEP

Any other information

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

First / Sole Applicant / Guardian

Second Applicant

Third Applicant

INSTRUCTIONS

1. In accordance with SEBI Circular No. CIR/MIRSD/13/2013 dated December 26, 2013, the additional details viz. Occupation details, Gross Annual Income/network and Politically Exposed Person (PEP)* status mentioned under section 2 & 3 which was forming part of uniform KYC form will now be captured in the application form of the Fund. Also, the detail of nature of services viz. Foreign Exchange/Gaming/Money Lending, etc.,(applicable for first/sole applicant) is required to be provided as part of Client Due Diligence (CDD) Process of the Fund.

The said details are mandatory for both Individual and Non Individual applicants.

*PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

2. Ultimate Beneficial Owners(s)

Pursuant to SEBI Master Circular No. CIR/ISD/AML/3/2010 dated December 31, 2010 on Anti Money Laundering Standards and Guidelines on identification of Beneficial Ownership issued by SEBI vide its Circular No. CIR/MIRSD/2/2013 dated January 24, 2013, investors (other than Individuals) are required to provide details of 'Ultimate Beneficial Owner(s) (UBO(s))'. In case the investor or owner of the controlling interest is a company listed on a stock exchange or is a majority owned subsidiary of such a company, the details of shareholders or beneficial owners are not required to be provided.

Non-individual applicants/investors are mandated to provide the details on 'Ultimate Beneficial Owner(s) (UBO(s))' by filling up the declaration form for 'Ultimate Beneficial Ownership'. Please contact the nearest Investor Service Centre (ISC) of Axis Mutual Fund or visit our website www.axismf.com.